

Website Compliance Report

Prepared By : Compliance & Audit Department First Quarter (July to September 2020)

Required under clause 16 (1) (q) of Securities Brokers (Licensing and Operations) Regulations, 2016, within seven (07) days from the end of each quarter.

In accordance with SRO 634 (I) / 2014.

Sr	Regulatory Requirements	Status	Details	
Home				
1	Jama Punji Logo on Website	Compliant		
Profile of the company				
2	Vision	Compliant		
3	Mission	Compliant		
4	Status of the company	Compliant		
5	Company Registration Number	Compliant	On All page	
6	National Tax Number	Compliant	On All page	
7	Address of Registered Office	Compliant	On All page	
8	Address of Branch Office	Compliant	On All page	
9	Phone Numbers & Fax for All Offices	Compliant	On All page	
10	Email Address	Compliant	On All page	
11	Detail of Associated Companies & Their Website Link	Compliant	There are no Associated companies of TSBL.	
Governance				
12	Profile of Board of Directors	Compliant		
13	Pattern of ShareHolding	Compliant	updated as of 30th-June-2020	
14	Name of Auditor of the company	Compliant	Internal External Both	
15	Name of Legal Advisor	Compliant		
Investor Relations				
16	Investor Complaints (company specific issues)			
17	Online Form	Compliant		
18	Contact Detail for designated person for complaint handling	Compliant		
19	SECP's link for complaints (www.secp.gov.pk/ComplaintForm1.asp)	Compliant		
20	Corporate Social Responsibility Reports	Compliant		
21	Notices of General Meetings, Dividend/ Bonus Declarations & Right Issue	Compliant		
22	Site Map	Compliant		
23	Search Facility	Compliant		
Media				
24	National / International Awards, recognition (if Any)	Compliant		
25	Membership of Industry Association & Trade bodies (If Any)	Compliant		
26	Any other announcements or clarifications issued by the company.	Compliant		
27	Compliance Certificate.	Compliant		
28	Last Date on which website was updated.	Compliant		
Election of Directors				
30	Notice of Election of Directors	Compliant		
Following information with respect to election of director shall be available as per time line given below:				
31	Proxies, in number and value, deposited by each candidate as & when submitted	Compliant	All information will be updated on website as required u/s 159 of the companies act 2017.	
32	Detailed profile of candidates contesting the elections alongwith their office address shall be available on website 7 Days prior to the date of election till the date of election.	Compliant		
21 Days Prior to the date of election till the date of election.				
33	Proxy forms to be placed on website	Compliant		
34	List of shareholders and their addresses accessible by candidate intending to contest elections(protected by password issued by the company or personalized login mechanism)	Compliant		
Investor Information				

35	Symbol of the company assigned by the respective Stock Exchange & website links of the stock exchanges where the company is listed.	Compliant	
36	Annual Reports for the current financial year as well as the previous two years.	Compliant	Since June 2012 Till June 2020.
37	Financial Highlights for previous Five Years.	Compliant	
38	Interim accounts i.e latest available accounts as well as for the last three quarters.	Compliant	Since 1st Quarter - Sep 30, 2011 Till 3rd Quarter - March 31, 2020
39	As per the latest available yearly financial statements: Earning per share, P/E ratio & Breakup Value.	Compliant	
40	Name & Address of share registrar	Compliant	
41	Free Float of the shares of Company.	Compliant	
42	Rating of company & instrument.if any	In-Progress	

Other Information			
1	Net Capital Balance Certificate Monthly	Compliant	Since October 2016 till August 2020
2	Liquid Capital Statement Monthly	Compliant	Since October 2016 till August 2020
3	Audited Net Capital & Liquid Capital Statements.	Compliant	Since June 2016 Till June 2020

Sr.Manager Audit & Controls
Muhammad Umair Ansari

Manager Compliance
Muhammad Ashraf



Signature



Signature