

## Website Compliance Report

Prepared By : Compliance & Audit Department Second Quarter (October to December 2020)

Required under clause 16 (1) (q) of Securities Brokers (Licensing and Operations) Regulations, 2016, within seven (07) days from the end of each quarter.

In accordance with SRO 634 (I) / 2014.

Sr	Regulatory Requirements	Status	Details
<b>Home</b>			
1	Jama Punji Logo on Website	Compliant	
<b>Profile of the company</b>			
2	Vision	Compliant	
3	Mission	Compliant	
4	Status of the company	Compliant	
5	Company Registration Number	Compliant	On All page
6	National Tax Number	Compliant	On All page
7	Address of Registered Office	Compliant	On All page
8	Address of Branch Office	Compliant	On All page
9	Phone Numbers & Fax for All Offices	Compliant	On All page
10	Email Address	Compliant	On All page
11	Detail of Associated Companies & Their Website Link	Compliant	There are no Associated companies of TSBL.
<b>Governance</b>			
12	Profile of Board of Directors	Compliant	
13	Pattern of ShareHolding	Compliant	updated as of 30th-September-2020
14	Name of Auditor of the company	Compliant	Internal External Both
15	Name of Legal Advisor	Compliant	
<b>Investor Relations</b>			
16	<b>Investor Complaints (company specific issues)</b>		
17	Online Form	Compliant	
18	Contact Detail for designated person for complaint handling	Compliant	
19	SECP's link for complaints ( <a href="http://www.secp.gov.pk/ComplaintForm1.asp">www.secp.gov.pk/ComplaintForm1.asp</a> )	Compliant	
20	Corporate Social Responsibility Reports	Compliant	
21	Notices of General Meetings, Dividend/ Bonus Declarations & Right Issue	Compliant	
22	Site Map	Compliant	
23	Search Facility	Compliant	
<b>Media</b>			
24	National / International Awards, recognition (if Any)	Compliant	
25	Membership of Industry Association & Trade bodies (If Any)	Compliant	
26	Any other announcements or clarifications issued by the company.	Compliant	
27	Compliance Certificate.	Compliant	
28	Last Date on which website was updated.	Compliant	
<b>Election of Directors</b>			
30	Notice of Election of Directors	Compliant	
Following information with respect to election of director shall be available as per time line given below:			
31	Proxies, in number and value, deposited by each candidate as & when submitted	Compliant	All information will be updated on website as required u/s 159 of the companies act 2017.
32	Detailed profile of candidates contesting the elections alongwith their office address shall be available on website 7 Days prior to the date of election till the date of election. <b>21 Days Prior to the date of election till the date of election.</b>	Compliant	
33	Proxy forms to be placed on website	Compliant	
34	List of shareholders and their addresses accessible by candidate intending to contest elections(protected by password issued by the company or personalized login mechanism)	Compliant	
<b>Investor Information</b>			

35	Symbol of the company assigned by the respective Stock Exchange & website links of the stock exchanges where the company is listed.	Compliant	
36	Annual Reports for the current financial year as well as the previous two years.	Compliant	Since June 2012 Till June 2020.
37	Financial Highlights for previous Five Years.	Compliant	
38	Interim accounts i.e latest available accounts as well as for the last three quarters.	Compliant	Since 1st Quarter - Sep 30, 2011 Till 1st Quarter - September 30, 2020
39	As per the latest available yearly financial statements: Earning per share, P/E ratio & Breakup Value.	Compliant	
40	Name & Address of share registrar	Compliant	
41	Free Float of the shares of Company.	Compliant	
42	Rating of company & instrument.if any	In-Progress	

#### Other Information

1	Net Capital Balance Certificate Monthly	Compliant	Since October 2016 till November 2020
2	Liquid Capital Statement Monthly	Compliant	Since October 2016 till November 2020
3	Audited Net Capital & Liquid Capital Statements.	Compliant	Since June 2016 Till June 2020

Sr.Manager Audit & Controls

Muhammad Umair Ansari




Signature

Manager Compliance

Muhammad Ashraf




Signature